



CONPET S.A.

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CUI: 1350020; Cod CAEN 4950; Înregistrată la Registrul
Comerțului Prahova sub numărul J29/6/22.01.1991
Capital social subscris și vărsat 28 569 842,40 lei



No.6364/29.02.2016

REPORT

On the internal/management control system on December 31, 2015

Pursuant to the provisions of Art.4, Paragraph (3) of the Government Ordinance no. 119/1999 regarding the internal/management control and the financial audit, republished, subsequent amendments and additions, the undersigned *ILASI LIVIU*, acting as *GENERAL DIRECTOR*, I hereby declare that *CONPET S.A. Ploiești* disposes of an internal/management control system whose conception and application enable the management (General Director and, where appropriate, the Board of Administration) provide a reasonable certainty that the funds managed for the fulfillment of the general and specific objectives were used under legality, regularity, effectiveness, efficiency and economy conditions.

This statement is based on a realistic, accurate, complete and reliable assessment of the entity's internal/management control system, formulated under the self-assessment thereof.

The internal/management control system partially comprises, on 31.12.2015, self-assessment mechanisms, and the application of the measures to increase the effectiveness thereof is based on risks assessment and continuation of the *development of the internal/management control system*.

In this case, I hereby mention the followings:

- **The risks registry at the level of CONPET S.A. Ploiești**, resulted following the application of the methodology of the procedure "Risks management", is being updated at least annually;
- **The procedures drafted** for the procedural activities are updated whenever necessary;
- **The program for the development of the internal/management control** comprises, distinctly, professional training of the management, execution personnel and internal auditors in the activities performed by the working group constituted based on the General Director's Decision for the monitoring, coordination and methodological guidance and this was updated during 2015;



- **Within the public entity CONPET S.A. Ploiesti, there is an internal audit department and it is operational being constituted of at least 2 persons (namely the internal Public Audit Bureau includes 4 persons).**

I hereby mention that the statements contained in this report are formulated by assuming the managerial responsibility and are reasoned on the data, information and findings recorded in the documentation related to the self-assessment of the internal/management control system, held within CONPET S.A. as well as the internal and external audit reports.

This report was prepared in compliance with the instructions on the preparation, approval and presentation of the report on the internal/management control system approved pursuant to the Government Secretary General Order no. 400/2015 for the approval of the internal/management control Code of the public enterprises. Based on the results of the self-assessment, I consider that at December 31, 2015, the internal /control management System of CONPET S.A. Ploiesti is partially compliant with the standards comprised in the internal/management control Code, the degree of implementation being exposed by the observance of Annex. 4.2. (15 implemented standards, 1 non-implemented standard).

GENERAL DIRECTOR

Ilași Liviu

S.s. Illegible, Stamp





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No. URG 2204/25.02.2016

Annex 4.2

GENERAL DIRECTOR

Eng. Liviu Ilasi

S.s. Illegible, Stamp

SYNTHETIC STATEMENT OF THE SELF - ASSESSMENT RESULTS

Standard Name	No. of Directions/dep- arments/servi- ces/bureaus directly subordinated, where the standard is applicable	Out of which directly subordinated directions/departments/services/bure- aus, where the standard is:			At the entity level, the standard is:
		I*)	PI	NI	
1	2	3	4	5	6
I. AUDIT ENVIRONMENT					
Standard 1 - Ethics and integrity	10	9	1		90% I
Standard 2 - Duties, positions, tasks	10	2	5	3	20% NI
Standard 3 – Competency, performance	10	10	-	-	100% I
Standard 4 – Organizational Structure	10	10	-	-	100% I
II. PERFORMANCE AND RISK MANAGEMENT					
Standard 5 – Objectives	10	10	-	-	100% I
Standard 6 – Planning	10	10	-	-	100% I
Standard 7 – Performances monitoring	10	10	-	-	100% I
Standard 8 – Risk management	10	10	-	-	100% I
III. CONTROL ACTIVITIES					
Standard 9 – Procedures	10	10	-	-	100% I
Standard 10 – Surveillance	10	10	-	-	100% I
Standard 11 – Continuity of activity	10	10	-	-	100% I
IV. INFORMATION AND COMMUNICATION					



Standard 12 – Information and communication	10	10	-	-	100%	I
Standard 13 – Documents management	10	10	-	-	100%	I
Standard 14 – Accounting and financial reporting	1	1	-	-	100%	I
V. ASSESSMENT AND AUDITING						
Standard 15 – Assessment of the internal/management control system	10	10	-	-	100%	I
Standard 16 - Internal Audit	1	1	-	-	100%	I

No. of implemented Standards: 15

No. of partially implemented standards: 0

No. of non-implemented standards: 1

The degree of compliance of the internal/management control system with the standards assessed at 31.12.2015 is **PARTIALLY COMPLIANT**.

The measures to be adopted are: ongoing maintenance of the level of implementation and development of the internal/management control system in order to reach compliance with all the requirements and implementation of all standards of the SGG Order no. 400/2015.

25.02.2016

Coordinator of the Working Group appointed as per the General Director's Decision
Eng. Madalina Marussi
S.s. Illegible

*) At the public entity level, any internal/management control standard is being considered:

- Implemented (I), if the number of the sections specified in column 3, on the row corresponding to that standard, represents at least 90% of the number of sections stipulated in column 2, on the same row;
- Partially implemented (PI), if the number of the sections specified in column 3, on the row corresponding to that standard, represents between 41% and 89% of the number of sections stipulated in column 2, on the same row;
- Non-implemented (NI), if the number of the sections specified in column 3, on the row corresponding to that standard, does not exceed 40% of the number of sections stipulated in column 2, on the same row.

